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Name:

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5-9-02  
Melissa Scanzillo  
Clifford Chance Rogers & Wells LLP

6311-038

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Christophe Thal et al.

Serial No. : 10/090,255 Group: 2161

Filed : March 4, 2002 Examiner: Not Yet Assigned

For : METHOD FOR DESCRIBING FINANCIAL INSTRUMENTS

COPY OF PAPERS  
ORIGINALLY FILED

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of NonProvisional Application (copy enclosed)  
dated March 26, 2002 received for the above identified application, enclosed please find the following:

1. ☒ Executed Declaration and Power of Attorney
2. ☒ Preliminary Amendment
3. ☒ Drawing Amendment

Please make the Declaration and Power of Attorney of record in the above-identified application.

NYA 533829.1

The Commissioner is hereby authorized to charge the late submission surcharge of \$130.00 and any additional fee, or credit any overpayment to Deposit Account No. 50-0521. A duplicate copy of this transmittal is enclosed.

Date:

5/2/02

Respectfully submitted,

Joseph Levi

Reg. No. 41,152

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/090,255	03/04/2002	Christophe Thal	6311-038

Clifford Chance Rogers & Wells LLP  
200 Park Avenue  
New York, NY 10166-0153



CONFIRMATION NO. 4117  
FORMALITIES LETTER



\*OC000000007721130\*

Date Mailed: 03/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbaru

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE